

GP/1656



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PATENT

Case Docket No. GNE.2930R1C7  
Date: May 14, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900

Applicant(s) : Botstein et al.  
Appl. No. : 10/033,245  
Filed : December 27, 2001  
For : SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND  
NUCLEIC ACIDS ENCODING  
THE SAME  
Examiner : Unknown  
Group Art Unit : 1656

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) May 14, 2002  
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)   
) AnneMarie Kaiser, Reg. No. 37,649

TRANSMITTAL LETTER

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with four (4) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

AnneMarie Kaiser  
Registration No. 37,649  
Attorney of Record

GNE.2930R1C7



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PATENT

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POLYPEPTIDES AND NUCLEIC )  
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INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office  
P.O. Box 2327  
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Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: May 14, 2002

By: AnneMarie Kaiser

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| FORM PTO-1449   | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>GNE.2930R1C7                                | APPLICATION NO.<br>10/033,245 |
| INFORMATION DISCLOSURE STATEMENT<br>BY APPLICANT<br><br>(USE SEVERAL SHEETS IF NECESSARY) |  | <b>RECEIVED</b><br><br>MAY 21 2002<br><br>TECH CENTER 1600/2900 |                               |
|   |  | APPLICANT<br>Botstein et al.                                    |                               |
|   |  | FILING DATE<br>December 27, 2001                                | GROUP<br>1656                 |



| U.S. PATENT DOCUMENTS |                 |           |                                    |        |          |                                 |  |
|-----------------------|-----------------|-----------|------------------------------------|--------|----------|---------------------------------|--|
| EXAMINER<br>INITIAL   | DOCUMENT NUMBER | DATE      | NAME                               | CLASS  | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |  |
|                       | 1.              | 5,536,637 | 07/16/96                           | Jacobs |          |                                 |  |
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| FOREIGN PATENT DOCUMENTS |  |                 |      |         |       |          |             |    |
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| EXAMINER<br>INITIAL      |  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|                          |  |                 |      |         |       |          | YES         | NO |
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| EXAMINER<br>INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) |  |
|---------------------|--|--|
|                     | 2.   | Klein et al. (1996) Selection for genes encoding secreted proteins and receptors. Proc. Natl. Acad. Sci. USA 93:7108-7113.                       |
|                     | 3.   | Database search, Locus list: hum (349, 801 seqs, 66, 964, 548 aa), Mon Jan 7 16:12:57 2002 [BLASTP 2.2.1 [Jul-12-2001], NCBI] 5 pp.              |
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|--|-----------------|
| EXAMINER   | DATE CONSIDERED |
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